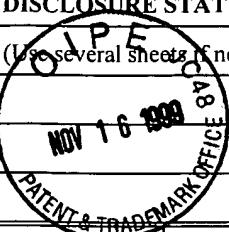


U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3633				
				Application No.: 09/357,726				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): David L. Wood et al.				
(Use several sheets if necessary)				Filing Date: July 21, 1999				
				Group Art Unit: Q2750				
				Date Submitted: November 10, 1999				
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA							
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	AL							
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	AN							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>AM</i>	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.						
<i>AM</i>	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.						
<i>AM</i>	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.						
Examiner <i>Frank Mancuso</i>	Date Considered	3/21/03						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: <u>1004-3633</u>					
		Application No.: <u>09/357,726</u>					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): <u>David L. Wood et al.</u>					
(Use several sheets if necessary)		Filing Date: <u>July 21, 1999</u>					
		Group Art Unit: <u>2756</u>					
		Date Submitted: <u>November 10, 1999</u>					
U.S. Patent Documents							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
<u>AR</u>	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.					
<u>AS</u>	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.					
<u>AT</u>	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.					
Examiner: <u>David L. Wood</u>	Date Considered	<u>3/21/03</u>					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3633					
		Application No.: 09/357,726					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood, et al.					
(Use several sheets if necessary)		Filing Date: July 21, 1999					
		Group Art Unit: 2756					
		Date Submitted: November 10, 1999					
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	Document	Date	Country	Class	Subclass	Yes	No
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M	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.					
M	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.					
M	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.					
Examiner	<i>Paul W. M.</i>		Date Considered	<i>3/21/03</i>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3633
		Application No.: 09/357,726
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood et al.
(Use several sheets if necessary)		Filing Date: July 21, 1999
		Group Art Unit: 27560
		Date Submitted: November 10, 1999



U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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Foreign Patent Documents

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	AP								

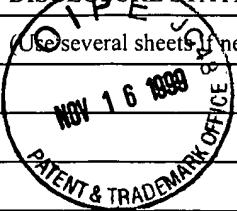
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AM	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.
AM	AS	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, <i>Security Dynamics White Paper</i> , Bedford, MA, http://www.securitydynamics.com/products/whitepapers/kerberos.html , 8 pages.
AM	AT	<u>Mastering Security on the Internet for Competitive Advantage</u> , Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.

Examiner *David L. Wood* Date Considered *3/21/03*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3633
		Application No.: 09/357,726
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): NOV 16 1999 David L. Wood et al.
		Filing Date: Group 10 July 21, 1999
		Group Art Unit: 2756
		Date Submitted: November 10, 1999



U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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						Translation		
		Document	Date	Country	Class	Subclass	Yes	No
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AM	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.
AM	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.
AM	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.

Examiner *Paul Manday* Date Considered *3/21/03*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: <i>1004-3633</i>
		Application No.: <i>100/357,726</i>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>TYPE</i> (Use several sheets if necessary)		Applicant(s): <i>David L. Wood et al.</i>
		Filing Date: <i>July 21, 1999</i>
		Group Art Unit: <i>2756</i>
		Date Submitted: <i>November 10, 1999</i>

NOV 16 1999
PATENT & TRADEMARK OFFICE 8

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*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA						
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Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
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	AN							
	AP							

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<i>AM</i>	AR	"Products Application Server," <i>Art Technology Group Product Overview Developer Workbench</i> , downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.
<i>AM</i>	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.
	AT	
Examiner <i>and my</i>	Date Considered	<i>3/21/07</i>

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 1004-3633					
		Application No.: 09/357,726					
O P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): David L. Wood et al.					
(Use several sheets if necessary)		Filing Date: July 21, 1999					
DEC 19 2000		Group Art Unit: 2182					
		Date Submitted: December 12, 2000					
U.S. Patent Documents							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AN	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	RECEIVED	
	AB					DEC 28 2000	
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	AD					Technology Center 2100	
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AN	AL	96/42041	27 Dec. 1996	WO			
AN	AM	98/25373	11 Jun. 1998	WO			
AN	AN	98/57247	17 Dec. 1998	WO			
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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Examiner <i>David L. Wood</i>	Date Considered	3/21/03					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 004-3633				
				Application No.: 09/357,726				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s): David L. Wood et al.				
				Filing Date: July 21, 1999				
				Group Art Unit: 2182				
				Date Submitted: March 8, 2001				
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AM	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01		
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	AG						MAILROOM	
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		Document	Date	Country	Class	Subclass	Yes	No
	AL							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
AM	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.						
AM	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.						
	AT							
Examiner	Signed by		Date Considered	3/21/01				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								